

HO CHI MINH STOCK EXCHANGE



**GROUND RULES FOR
VIETNAM FINANCIAL SELECT SECTOR INDEX**

Version 1.2

*(In accordance with Decision No 744/QĐ-SGDHCM on September 29, 2026
by the Chairman of Ho Chi Minh Stock Exchange)*

Ho Chi Minh city

September - 2026

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HISTORY OF AMENDMENTS

Version	Content
1.2	- Restructuring of the Ground Rules for management of the VNFINSELECT Index version 1.1. - Supplementing the case where the index basket will continue to be updated, excluding stocks before the Effective Date (placed under warning status due to information disclosure violations) to ensure consistency with the regulations in the Ground Rules for HOSE Indices.

1. INTRODUCTION

- 1.1. The Ground Rules for Vietnam Financial Select Sector Index (acronym VNFINSELECT Index) are issued upon approval by the Vietnam Exchange (VNX), establishing the core principles governing the construction, maintenance, and daily administration of the VNFINSELECT Index by the Ho Chi Minh Stock Exchange (HOSE) under authority delegated by VNX.
- 1.2. These Ground Rules may be supplemented, amended, or withdrawn in whole or in part at any time. Such amendments, supplements, or withdrawals of the rules may lead to changes in the construction and management of the index or directly affect the index.
- 1.3. All intellectual property rights related to these Ground Rules and the index, including the names, compositions, and calculation methodologies, are owned by VNX and HOSE. Except for cases where permission is not required and exceptions stipulated by the Law on Intellectual Property, any reproduction in whole or in part of these Ground Rules without the prior consent of VNX or HOSE shall be considered a copyright infringement and handled in accordance with the provisions of law.
- 1.4. Unless otherwise specified in these Ground Rules, all related matters shall be governed by the provisions outlined in the Ground Rules for HOSE Equity Indices (the Ground Rules for HOSE Indices).

2. GENERAL INDEX OVERVIEW

- 2.1. The VNFINSELECT Index is a tradable index, comprising at least 10 constituent stocks selected from the constituent list of the VNAllshare Financials sector index and meeting the index screening criteria.
- 2.2. The VNFINSELECT Price Index is calculated based on the free-float adjusted market capitalization and is subject to capitalization weight capping (for single stocks).

The Price Index is calculated on real-time basis and distributed every 5 seconds during the trading days.

- 2.3. The VNFINSELECT Total Return Index (VNFINSELECT TRI) shall be calculated based on VNFINSELECT Price Index, reflecting both price movements and the reinvestment of cash dividends from constituent stocks.

The Total Return Index shall be calculated and distributed one time at the end of each trading day.

- 2.4. The base value of the index is 1000.

The base date of the index is November 15th 2019.

2.5. The constituent list of the index is:

- Reviewed semi-annually in April and October.
- Updated for information (including free-float ratio, the volume of outstanding shares for index calculation, capitalization weight capping factor, and index constituents (if any)) quarterly in January, April, July and October.

Refer to detailed provisions regarding the **Data cut-off date**, **Announcement date**, and **Effective date** for periodic reviews and information updates as specified in the **Definitions** section of the Ground Rules for HOSE Indices.

3. DEFINITIONS

- The VNFIN index basket is the list of current constituent stocks of the VNAllshare Financials index determined in accordance with the VNAllshare Sector indices Ground Rules.
- Market capitalization (GTVH), trading value (GTGD): please refer to the **Definitions** section in the Ground Rules for HOSE Indices.

4. PERIODIC SCREENING OF INDEX CONSTITUENTS

4.1. Periodic index constituent screening steps

Step 1: From the VNFIN index basket, select stocks with $GTVH \geq 500$ billion VND and $GTGD \geq 1$ billion VND/day.

Step 2: From the list of stocks satisfying the conditions after Step 1, determine the official index basket as follows:

** In case there are 10 or more stocks satisfying the conditions after Step 1:*

Select all stocks satisfying the conditions after Step 1 into the official index basket.

** In case there are fewer than 10 stocks satisfying the conditions after Step 1:*

Select all stocks satisfying the conditions after Step 1 and additionally select stocks with the highest GTGD (giving priority to higher GTVH when GTGD are equal) from the remaining stocks of the VNFIN index basket until there are 10 stocks in the official index basket.

4.2. Update of index basket before the Effective Date

The index basket shall continue to be updated, excluding stocks in accordance with the regulations on **Update of index basket before the Effective Date** outlined in the Ground Rules for HOSE Indices.

The replacement of removed stocks shall be conducted similarly to the intra period adjustment prescribed in Section 5.2 of these Ground Rules.

5. INTRA PERIOD ADJUSTMENTS TO INDEX CONSTITUENTS

- 5.1. The index basket shall be adjusted intra period if it falls under the cases of *Intra Period Adjustments To Index Constituents* specified in the Ground Rules for HOSE Indices.
- 5.2. The replacement of removed stocks shall be conducted as follows:
- 5.2.1. If the official index basket remains with fewer than 10 stocks, replacement stocks shall be selected from the current VNFIND index basket in descending order of GTGD determined at the most recent periodic review, giving priority to higher GTVH when GTGD are equal, until there are 10 stocks in the official index basket.
 - 5.2.2. If the official index basket remains with 10 or more stocks, no replacement stocks will be added for the removed stocks.

6. INDEX CALCULATION METHODOLOGY

- 6.1. The VNFINDSELECT Price Index and the VNFINDSELECT TRI are calculated in accordance with the *Price Index Calculation Methodology* and *Total Return Index Calculation Methodology* stipulated in the Ground Rules for HOSE Indices.
- 6.2. Capping factor of stock (c)
- The capping factor of a constituent stock is calculated in accordance with the regulation on the *Capping factor of stock (c)* in the Ground Rules for HOSE Indices.
- A single stock weight cap of 15% is applied to index constituents.
- 6.3. Stock weight adjustment factor (g): Not applicable.

7. CONTACT INFORMATION

For more information regarding index construction methodology, calculation, licensing and data services, please visit the official website or contact the Market Information Department of the Ho Chi Minh Stock Exchange (HOSE).



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